

Ci Global Investments ICAV dealing form

For assistance completing this form, please contact The Administrator, Northern Trust International Fund Administration Services (Ireland) Limited:

- **Phone Toll Free if calling from South Africa: 0800004579**
- **Investors based in other jurisdictions should call: +353 1 434 5142**
- **Email Queries: Sanlam.ta@ntrs.com**

IMPORTANT INFORMATION

1. This form should be read with a copy of the Prospectus, the Supplement, and the MDD / Factsheet (as applicable) which can be obtained from Ci Global Investments or from your adviser.
2. Please send the completed form in PDF format to SanlamTaInstruction@ntrs.com or by FAX + 353 1 553 9447. Please refer to **Appendix A** for further details on PDF instructions.
3. Any amendments to an investor's registration details or payment instructions will only be effected on receipt of appropriate documentation. As per the Central Bank of Ireland's requirement, payment of redemption proceeds will not be released until accounts are fully cleared and verified.
4. Payment for Shares applied for should only be made by electronic transfer to the appropriate bank account listed on Page 5.
5. Cleared subscription monies must be received for value on the same day as the dealing form, unless otherwise stated in the fund Supplement.

SECTION 1: INVESTOR ACCOUNT DETAILS

Investor Name and Surname/Entity Name

Registered account number

Registered address details

Area code

Country

Managed by Sanlam Asset Management (Ireland) Limited. Sanlam Asset Management (Ireland) Limited is authorised and regulated by the Central Bank of Ireland as a UCITS Management Company and an Alternative Investment Fund Manager, and is licensed as a Financial Service Provider in terms of Section 8 of the South African FAIS Act of 2002.

Incorporated in Ireland, Reg No. 267640

Beech House, Beech Hill Road, Dublin 4, Ireland. Tel: + 353 1 205 3510. Fax: + 353 1 205 3521. E-mail: intouch@sanlam.ie

Ci Collective Investments (RF) (Pty) Ltd is the South African CIS representative office. Reg No. 2004/030503/07

54 Peter Place, 1st Floor, Blackburn House, Peter Place Office Park, Bryanston, South Africa

PO Box 412249, Craighall, 2024, Gauteng, South Africa. Tel: +27 861 000 881. Email: clientservices@cicollective.co.za.

SECTION 2: INITIAL INVESTMENT AND ADDITIONAL INVESTMENTS

Please indicate the amount to be purchased

Fund name	Share class	ISIN	Amount	Payment Currency
Analytics International Flexible Fund	A	IE00B6VSLB23		
APS Global Flexible Fund	A	IE00BWSW4V06		
NFB Global Balanced Fund of Funds	A	IE00B82BMY45		
Worldwide Growth Fund (Fussell)	A	IE00BKDV5715		
Odyssey Global Fund	A	IE00BD87BC21		
International Equity Fund (Kruger)	A	IE00BDT6FV51		
International Flexible Fund (Kruger)	A	IE00BDT6FY82		
Global Accumulator Fund (Dynasty)	A	IE00BJ116N95		
Global Preserver Fund (Dynasty)	A	IE00BJ116L71		
Global Flexible Fund (Ingede)	A	IE00BJ116J59		
Global Fund (Investhouse)	A	IE00BKDV5376		
Global Inflation Plus Fund (Chrome)	A	IE00BKDV5608		
Global Maximum Return Fund (Chrome)	A	IE00BKDV5483		
Global Growth Fund (PFPS)	A	IE00BKDV5590		
Claret Fund	A	IE00BJ116K64		
Global Flexible Growth Fund (Synergy)	A	IE00BMFZWH42		
International Flexible Growth Fund (Affinity)	A	IE00BMXYZ638		
Sterling Wealth Global Flexible Fund	A	IE000Q9KELF7		
Blended Global Equity Fund (AssetMix)	B	IE000BPQGU31		
Global Flexible Balanced Growth Fund (AssetBase)	A	IE000OGY8TK7		
Global Flexible High Growth Fund (AssetBase)	A	IE0009ZF13H5		
Worldwide Flexible Fund (Anura)	A	IE00053FLFV0		
Helfin Global Flexible Fund	A	IE000OV47YU6		
Worldwide Flexible Growth Fund (Aurora Sky)	A	IE000F4FJCJ1		
Global Diversified Equity Fund (AE)	B	IE00062FFM32		
Global Strategic Growth Fund (Roxburgh)	A	IE000LE6N7C8		
Global Managed Fund (GMAM)	B	IE000F2225O3		
NVest Global Equity Fund	A	IE000URKTD95		
Global Diversified Fund (MIS)	A	IE00085ZPLZ0		
Global Diversified Balanced Fund (JBL)	A	IE000FIRSN71		
Global Enhanced Growth Fund (JBL)	A	IE0007YVK737		
Global Dynamic Growth Fund (Octagon)	A	IE00087WD957		
Global Stable Fund (Octagon)	A	IE000E5KSS51		
Global Opportunities Fund (Woodland)	A	IE000VQ2SQN0		

SECTION 3: BANK ACCOUNT DETAILS FOR PAYMENT OF REPURCHASE PROCEEDS (IF DIFFERENT TO ORIGINAL APPLICATION)

INVESTOR BANK ACCOUNT DETAILS ^{1 2 3}

Currency

Name of beneficiary bank

Bank address

Swift (all except GBP)

Sort code (GBP only)

ABA

Beneficiary bank account name

Beneficiary bank account number

IBAN

Reference (if applicable)

Name of corresponding bank

Corresponding bank swift

Corresponding bank account name

Corresponding bank account number

¹ Subscriptions: Money must come from the account detailed above.

² Redemptions will be paid to the account above. No Third Party payments will be made.

³ All subscriptions must be received by electronic transfer.

I/we acknowledge that all payments will be made to the account of the investor.

I/we acknowledge that wiring instructions different from the Subscription Agreement may require further documentation. This may cause a delay in processing the repurchase.

I/we have reviewed the prospectus and supplements for the time deadlines for processing of repurchases.

Signature of First applicant/ Authorised signatory

Signature of Second applicant/ Authorised signatory

First applicant

Second applicant

Date |D|D|M|M|Y|Y|Y|Y|

Details of share classes, minimum initial investment required and fees for each class are set out in the Prospectus and fund Supplement. Where the amount is not in the currency of the Fund, the monies will be converted to that currency by the Administrator at the rate applicable on the Dealing Day.

SECTION 4: REPURCHASE

Please indicate the number of shares, or the amount to be repurchased

Fund name	Share class	ISIN	Amount	Payment Currency	No. of shares
Analytics International Flexible Fund	A	IE00B6VSLB23			
APS Global Flexible Fund	A	IE00BWSW4V06			
NFB Global Balanced Fund of Funds	A	IE00B82BMY45			
Worldwide Growth Fund (Fussell)	A	IE00BKDV5715			
Odyssey Global Fund	A	IE00BD87BC21			
International Equity Fund (Kruger)	A	IE00BDT6FV51			
International Flexible Fund (Kruger)	A	IE00BDT6FY82			
Global Accumulator Fund (Dynasty)	A	IE00BJ116N95			
Global Preserver Fund (Dynasty)	A	IE00BJ116L71			
Global Flexible Fund (Ingede)	A	IE00BJ116J59			
Global Fund (Investhouse)	A	IE00BKDV5376			
Global Inflation Plus Fund (Chrome)	A	IE00BKDV5608			
Global Maximum Return Fund (Chrome)	A	IE00BKDV5483			
Global Growth Fund (PFPS)	A	IE00BKDV5590			
Claret Fund	A	IE00BJ116K64			
Global Flexible Growth Fund (Synergy)	A	IE00BMFZWH42			
International Flexible Growth Fund (Affinity)	A	IE00BMXYZ638			
Sterling Wealth Global Flexible Fund	A	IE000Q9KELF7			
Blended Global Equity Fund (AssetMix)	B	IE000BPQGU31			
Global Flexible Balanced Growth Fund (AssetBase)	A	IE000OGY8TK7			
Global Flexible High Growth Fund (AssetBase)	A	IE0009ZF13H5			
Worldwide Flexible Fund (Anura)	A	IE00053FLFV0			
Helfin Global Flexible Fund	A	IE000OV47YU6			
Worldwide Flexible Growth Fund (Aurora Sky)	A	IE000F4FJCJ1			
Global Diversified Equity Fund (AE)	B	IE00062FFM32			
Global Strategic Growth Fund (Roxburgh)	A	IE000LE6N7C8			
Global Managed Fund (GMAM)	B	IE000F2225O3			
NVest Global Equity Fund	A	IE000URKTD95			
Global Diversified Fund (MIS)	A	IE00085ZPLZ0			
Global Diversified Balanced Fund (JBL)	A	IE000FIRSN71			
Global Enhanced Growth Fund (JBL)	A	IE0007YVK737			
Global Dynamic Growth Fund (Octagon)	A	IE00087WD957			
Global Stable Fund (Octagon)	A	IE000E5KSS51			
Global Opportunities Fund (Woodland)	A	IE000VQ2SQN0			

SECTION 5: SWITCH

Please indicate the number of shares, or the amount to be switched

Switch from:

Fund name	Share class	ISIN	Amount	Payment Currency	No. of shares
Analytics International Flexible Fund	A	IE00B6VSLB23			
APS Global Flexible Fund	A	IE00BWSW4V06			
NFB Global Balanced Fund of Funds	A	IE00B82BMY45			
Worldwide Growth Fund (Fussell)	A	IE00BKDV5715			
Odyssey Global Fund	A	IE00BD87BC21			
International Equity Fund (Kruger)	A	IE00BDT6FV51			
International Flexible Fund (Kruger)	A	IE00BDT6FY82			
Global Accumulator Fund (Dynasty)	A	IE00BJ116N95			
Global Preserver Fund (Dynasty)	A	IE00BJ116L71			
Global Flexible Fund (Ingede)	A	IE00BJ116J59			
Global Fund (Investhouse)	A	IE00BKDV5376			
Global Inflation Plus Fund (Chrome)	A	IE00BKDV5608			
Global Maximum Return Fund (Chrome)	A	IE00BKDV5483			
Global Growth Fund (PFPS)	A	IE00BKDV5590			
Claret Fund	A	IE00BJ116K64			
Global Flexible Growth Fund (Synergy)	A	IE00BMFZWH42			
International Flexible Growth Fund (Affinity)	A	IE00BMXYZ638			
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Global Flexible Balanced Growth Fund (AssetBase)	A	IE000OGY8TK7			
Global Flexible High Growth Fund (AssetBase)	A	IE0009ZF13H5			
Worldwide Flexible Fund (Anura)	A	IE00053FLFV0			
Helfin Global Flexible Fund	A	IE000OV47YU6			
Worldwide Flexible Growth Fund (Aurora Sky)	A	IE000F4FJCJ1			
Global Diversified Equity Fund (AE)	B	IE00062FFM32			
Global Strategic Growth Fund (Roxburgh)	A	IE000LE6N7C8			
Global Managed Fund (GMAM)	B	IE000F2225O3			
NVest Global Equity Fund	A	IE000URKTD95			
Global Diversified Fund (MIS)	A	IE00085ZPLZ0			
Global Diversified Balanced Fund (JBL)	A	IE000FIRSN71			
Global Enhanced Growth Fund (JBL)	A	IE0007YVK737			
Global Dynamic Growth Fund (Octagon)	A	IE00087WD957			
Global Stable Fund (Octagon)	A	IE000E5KSS51			
Global Opportunities Fund (Woodland)	A	IE000VQ2SQN0			

Switch to:

Fund name	Share class	ISIN

CI GLOBAL INVESTMENTS ICAV: BANK ACCOUNT DETAILS

	GBP SETTLEMENT	EURO SETTLEMENT	USD SETTLEMENT	CHF SETTLEMENT	AUD SETTLEMENT	ZAR SETTLEMENT
When making an international payment use the intermediary bank details below:						
Intermediary Bank	Barclays Bank	Barclays Bank PLC		Credit Suisse AG	National Australia Bank	Standard Bank
Intermediary Address	1 Churchill Place, London E14 5HP	Frankfurt		Giesshuebe-strasse 30 CH-8070 Zurich Switzerland	Melbourne	Johannesburg
Intermediary SWIFT Code	BARCGB22	BARCDEFF		CRESCHZZ80A	NATAAU33	SBZAZAJJ
Intermediary Banks Sort Code	203253					
Beneficiary Banks Account Number	53529495	0210472800		IBAN: CH1004835098 499033010	1803007471500	7228490
Beneficiary Bank	The Northern Trust International Banking Corporation, New Jersey	The Northern Trust International Banking Corporation, New Jersey	The Northern Trust International Banking Corporation	The Northern Trust International Banking Corporation, New Jersey	The Northern Trust International Banking Corporation, New Jersey	The Northern Trust International Banking Corporation, New Jersey
			FedwireABA: 026001122 CHIPS: 0112	N/A		
Beneficiary Bank SWIFT code	CNORUS33	CNORUS33	CNORUS33	CNORUS33	CNORUS33	CNORUS33
Beneficiary account name & number	Ci Global Investments RIAIF ICAV - 618124 20019	Ci Global Investments RIAIF ICAV - 618090 20019	Ci Global Investments RIAIF ICAV - 248716 20010	Ci Global Investments RIAIF ICAV - 618140 20019	Ci Global Investments RIAIF ICAV - 618108 20019	Ci Global Investments RIAIF - 618116 20019
Reference: Register number and/or order number						

DATA PRIVACY NOTICE

In accordance with the General Data Protection Regulation (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1988 to 2003) (collectively, "Data Protection Legislation"), the ICAV being the data controller for the purposes of this application to subscribe for shares in the ICAV, must provide you with information on how the personal data that you provide as part of your application to subscribe for shares will be processed.

Where your details are provided to the ICAV as a consequence of your investment in the ICAV, then the ICAV, acting as a data controller may itself (or through a third party such as the Administrator, Northern Trust Global Services SE or Northern Trust Global Services, UK Branch) acting as a data processor, process your personal information or that of your directors, officers, employees and/or beneficial owners. When processing your personal information, there may also be times where the Administrator will act as an independent data controller in respect of your personal information. For the avoidance of doubt, the Administrator will not at any time be acting as a joint controller with the ICAV. In connection with this, please note the following:

1. Contact details

The ICAV, as data controller, has appointed the Administrator as a data processor. The ICAV can be contacted via the Administrator at the following address:

Administrator

Northern Trust International Fund Administration Services (Ireland) Limited, George's Court;
54-62 Townsend Street,
Dublin 2, D02 R156, Ireland
Tel: +353 1 434 5142
Email: sanlam.ta@ntrs.com

2. Purposes of processing and legal basis for processing

The personal data collected from you or provided by you or on your behalf in connection with your application for shares may be processed by the ICAV and/or the Administrator (or any of their affiliates, agents, employees, delegates or sub-contractors) for the following purposes:

Performance of the contract

- (a) to facilitate the opening of your account with the ICAV, the management and administration of your investment with the ICAV and any related account on an ongoing basis (the "Services") which are necessary for the performance of your contract with the ICAV, including without limitation the processing of redemption, conversion, transfer and additional subscription requests and the payment of distributions, where applicable;
- (b) to update and maintain records and fee calculations;
- (c) circulating periodic reports relating to the ICAV.

Compliance with a legal obligation

- (a) in order to carry out anti-money laundering/counter terrorist financing checks and related actions which the ICAV considers appropriate to meet any legal obligations imposed on the ICAV relating to the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis (collectively "AML checks"), in accordance with the ICAV's anti-money laundering/counter terrorist financing procedures;
- (b) to report tax related information to tax authorities in order to comply with a legal obligation.

Pursuing the legitimate interests of the ICAV including:

- (a) in relation to the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with the ICAV's and the Administrator's anti-money laundering/counter terrorist financing procedures;
- (b) carrying out statistical analysis and market research;
- (c) recording, maintaining, storing and using recordings of telephone calls that you make to and receive from the ICAV, the Administrator, or the Investment Manager and their delegates or duly appointed agents and any of their respective related, associated or affiliated companies for (i) processing and verification of instructions, (ii) investigation and fraud prevention purposes, (iii) for crime detection, prevention, investigation and prosecution, (iv) to enforce or defend the ICAV and its affiliates' rights itself or in order to comply with any legal obligation imposed on the ICAV, (v) to pursue the ICAV's legitimate interests in relation to such matters or (vi) where the processing is in the public interest;
- (d) to monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of the ICAV to improve its service delivery;
- (e) to disclose information to other third parties such as service providers of the ICAV, auditors, regulatory authorities and technology providers;
- (f) to retain AML and other records of individuals to assist with the subsequent screening of them by the Administrator including in relation to other funds or clients of the Administrator in pursuance of the Administrator's and its clients' legitimate interests.

Please note that where personal data is processed for purposes of legitimate interests, you have a right to object to such processing and the ICAV will no longer process the personal data unless the ICAV can demonstrate compelling legitimate grounds for the processing which override your interests, rights and freedoms or for the establishment, exercise or defence of legal claims.

3. Recipients of Data and International Transfer of Data

The ICAV may disclose your personal information as follows:

- (a) to the ICAV's service providers, including the Administrator and other third party service providers engaged by the ICAV and their affiliates, in order to store or process the data for the above mentioned purposes;
- (b) to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting; and
- (c) to the Administrator and / or its affiliates as joint controllers:
 - to create a single source record, relating to you, which is held, maintained and used by the Administrator and its affiliates as joint controllers in pursuance of the Administrator's, its affiliates' and the ICAV's legitimate interests;
 - where you subscribe to use the Administrator's investor portal functionality, for the purposes of the performance of the Administrator's agreement with you in relation to your use of that portal functionality, including for security in connection with the portal;
 - to retain AML and other records of individuals to assist with subsequent screening of them by the Administrator or its affiliates, including in relation to other funds or clients of the Administrator or any of its affiliates in pursuance of the Administrator's, its affiliates' or its clients' legitimate interests and to meet any legal obligations imposed on the Administrator or its affiliates.

In any case where the ICAV shares your personal information with a third party data controller (including, as appropriate, the Administrator and its affiliates), the use by that third party of your personal information will be subject to the third party's own privacy policies. The Administrator's privacy policy may be accessed at www.northerntrust.com/privacy.

The disclosure of personal information to the third parties set out above may involve the transfer of data to the USA and other jurisdictions outside the European Economic Area ("EEA") in accordance with the requirements of the General Data Protection Regulation or outside the United Kingdom in accordance with the requirements of the UK Data Protection Act 2018 (as amended by the Data Protection, Privacy and Electronic Communications (Amendments etc.) (EU Exit) Regulations 2019) (as applicable), as amended or replaced from time to time (the "Data Protection Law"). Such countries may not have the same data protection laws as your jurisdiction.

Where such countries are not recognised as having an adequate level of data protection by the European Commission or the relevant adequacy regulations made under the UK Data Protection Act 2018 (as amended by the Data Protection, Privacy and Electronic Communications (Amendments etc.) (EU Exit) Regulations 2019) (as applicable), the ICAV (i) has, or has authorised the Administrator as its agent, to put in place Standard Contractual Clauses with relevant parties to whom personal data will be transferred; (ii) acknowledges that the personal data will be transferred in accordance with Standard Contractual Clauses or, once adopted, the Binding Corporate Rules. Please contact the Administrator for copies of (i) the Standard Contractual Clauses and / or (ii) the Northern Trust Binding Corporate Rules, once adopted.

Consent

Your consent is not required to process your personal data for the purposes referenced above. However, in order to process your personal data for the purposes of direct marketing, the ICAV seeks your consent. Please see the section below which deals exclusively with consent for that purpose.

4. Consent to Direct Marketing

From time to time, one or more of the ICAV and/or its delegates (which may include, without limitation, the Administrator, the Investment Manager and the Depositary) may send you information about other products and services that they offer by letter, by telephone, by email or by other reasonable means of communication. You have a right not to receive such information.

If you consent to receive such information and/or marketing material, please tick here. ☐

You have a right to withdraw this consent at any time. However, your withdrawal of consent will not affect the lawfulness of processing based on consent before its withdrawal. You can withdraw your consent by contacting the ICAV c/o the Administrator at the address above. You also have a right to object to the processing of your personal data for direct marketing purposes.

5. Profiling and Screening

The ICAV and its appointed data processors engage in OFAC and politically exposed person screening for the purposes of complying with anti-money laundering and counter terrorist financing legislation and with the UN, EU and other applicable sanctions regimes.

6. Disclosures to Data Processors and / or Third Parties

The ICAV may disclose your personal information as follows:

- (a) to third parties identified in the Prospectus and to entities that are companies within the same group of companies as such third parties in order to process the data for the abovementioned purposes. These third parties will handle your information in accordance with applicable Data Protection Legislation;
- (b) to other third parties such as the auditors to the ICAV or agents of the Administrator who process the information for anti-money laundering/counter terrorist financing purposes or for compliance with foreign regulatory requirements; and
- (c) to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting

7. Transfers Abroad

The disclosure of personal information to the third parties set out above may involve the transfer of data to South Africa, the United States and Hong Kong and other jurisdictions outside the European Economic Area (EEA) in accordance with the requirements of the GDPR. Such countries may not have the same data protection laws as your jurisdiction. The ICAV has authorised the Administrator, as its agent, to put in place Standard Contractual Clauses with relevant parties to whom personal data will be transferred. Please contact the Administrator for copies of the Standard Contractual Clauses that have been entered into on behalf of the ICAV.

8. Retention period

The ICAV and the Administrator will retain your personal information for as long as required for the ICAV or the Administrator to perform the Services or perform investigations in relation to same depending on whether additional legal/regulatory obligations mandate that the ICAV retains your personal information.

9. Your data protection rights

Please note that you have the following rights under the GDPR in relation to your personal information. In each case, the exercise of these rights is subject to the provisions of the GDPR:

- (a) You have a right of access to and the right to amend and rectify your personal data.
- (b) You have the right to have any incomplete personal data completed.
- (c) You have a right to lodge a complaint with a supervisory authority, in particular in the Member State of your habitual residence, place of work or place of the alleged infringement if you consider that the processing of personal data relating to you carried out by the ICAV infringes the GDPR.
- (d) You have a right to request that your personal information is erased (in certain specific circumstances).
- (e) You have a right to restrict processing (in certain specific circumstances).
- (f) You have a right to data portability (in certain specific circumstances).
- (g) You also have the right to object to processing where personal data is being processed for marketing purposes and where the ICAV is processing personal data for legitimate interests.

10. Failure to provide personal data

The provision by you of personal data, as outlined in the section above titled "Purposes of processing and legal basis for processing" is required for us to accept your subscription application and manage and administer your holdings in the ICAV and so that we can comply with the legal, regulatory and tax requirements referenced above. Where you fail to provide such personal data we will not be able to accept your application or to the extent that we do accept your application and the required personal data is not provided within the specified timeframe we may be required to discontinue our business relationship with you.

Terms and Conditions of Service for instructions issued to the Administrator via email

Introduction

If you (hereinafter referred to as the “Investor”) wish to send Northern Trust International Fund Administration Services (Ireland) Limited, (the “Administrator”), instructions in respect of the Shares of a Ci Global Investments ICAV sub-fund (the “Fund”) in portable document format (“PDF”) or commonly used equivalent scanned form which is transmitted to the Administrator via email, then the terms as set out below will apply to the Investor’s account.

IT IS IMPORTANT THAT THE INVESTOR READS THESE TERMS AND CONDITIONS OF SERVICE CAREFULLY.

By completing the appropriate documentation (e.g. account opening forms, additional subscription forms, transfer/switch requests or redemption forms) and instructing the Administrator in respect of the Shares of the Fund via email, the Investor will have accepted the following Terms and Conditions of Service.

A. Procedure for PDF Instructions

1. The email address to submit PDF instructions SanlamTAInstruction@ntrs.com. **This address is to be solely used for sending PDF instructions via email in respect of the shares in the Fund.**
2. The Investor shall ensure that the PDF instruction is signed by properly authorised persons, scanned and attached to a **blank email** which is addressed to SanlamTAInstruction@ntrs.com
3. PDF instructions received without the scanned PDF form attached will not be accepted.
4. Upon receipt of an email with the scanned PDF instruction, the Administrator will send the Investor a task number by auto-response.
5. The task number acknowledges receipt of the Investor’s instruction.
6. The task number is not confirmation of placement of the instruction.
7. The Administrator must be in receipt of the instruction prior to the relevant dealing deadline as set out in the Fund’s Prospectus. The Administrator will not be responsible for any delays in receipt.
8. If the Investor does not receive a task number by auto response, it is the Investor’s responsibility to contact the Administrator by telephone (details confirmed within the application form) to confirm that the Administrator has received the Investor’s instruction.
9. If the Investor sends the Administrator a PDF dealing instruction in respect of the shares in the Fund to a mailbox other than specified above, the Administrator will reject the instruction and/or the deal will not be placed. Notification of rejection may not be given prior to the dealing cut off.
10. In cases where the Investor has supplied the Administrator with a “group” email address, the Administrator will have fully discharged its responsibilities where it has sent any communication to this “group” address.
11. The Investor should not send a duplicate instruction by alternative means to the Administrator as this could lead to a duplicate e.g deals being placed in error.
12. The Investor is not obliged to instruct in this manner.

B. General Terms and Conditions of Service

1. Email is not a secure form of communication and may be subject to interception, interruption, corruption, distortion, non-delivery, loss, may not be confidential, secure or error free and may contain viruses. Using and relying on email involves increased risk of fraud and of miscommunications including those due to a telecommunications system or equipment failure, misdirected communications or illegibility of the instructions or documents and the Investor will bear the risks if the Investor wishes to conduct the Investor’s dealings using email.
2. The Administrator is authorised and instructed to accept and execute any instructions in respect of shares in the Fund given by the Investor in PDF form or by email. The Administrator will rely conclusively upon, and neither the Company nor the Administrator shall incur liability in respect of any action taken upon any instruction believed in good faith to be genuine.
3. Neither the Company nor the Administrator will be responsible or liable for the authenticity of instructions received from the Investor or any authorised person and may rely upon any instruction from any such person representing himself to be a duly authorised person reasonably believed by the Administrator to be genuine.
4. Neither the Company nor the Administrator will accept responsibility or liability of any nature whatsoever arising out of or in connection with instructions given by the Investor in PDF form or by email, including without limitation, the Investor’s use of an incorrect email address, failure of the Investor’s transmission, interception, alteration or corruption of the Investor’s email transmission, non-receipt of the Investor’s electronic instruction, failure of technical infrastructure, error, omission, interruption, deletion, defect, delay in operation or transmission, computer virus, communication line failure, or any allotment, switch or redemption or other action taken in good faith by the Administrator upon any electronic instruction. In addition, neither the Company nor the Administrator will be liable for any failure to act upon electronic instructions due to equipment failure or for any cause that is beyond the control of the Administrator.